

Fisheries R & D Corporation to be accredited by the Australian Board of Standards Development Organisations as a Standards Development Organisation (SDO) to develop Australian Standards in the seafood industry



Alan J Snow

15 October 2013

FRDC Project No 2012/209.30

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The Fisheries Research and Development Corporation plans, invests in and manages fisheries research and development throughout Australia. It is a statutory authority within the portfolio of the federal Minister for Agriculture, Fisheries and Forestry, jointly funded by the Australian Government and the fishing industry.

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In submitting this report, the researcher has agreed to FRDC publishing this material in its edited form.

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standards

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accreditation in a very tight timeframe

Vicki Snow (ASK) Who provided valuable administrative support to the project

Abbreviations

Term	Definition
ABSDO	Accreditation Board of Standards Development Organisations
SDO	Standards Development Organisation
SDC	Standards Development Committee
SSA	Seafood Services Australia



Executive Summary

What the report is about

This report describes the steps taken by the Fisheries Research and Development Corporation (FRDC) to be accredited by the Accreditation Board of Standards Development Organisations (ABSDO) as a developer of Australian Standards.

FRDC has an audited quality system in place. Steps have been taken through this project to integrate the FRDC administrative requirements as a standards setting body into the FRDC quality system.

This report outlines:

- Why FRDC needed to be accredited; and
- Steps which were taken for FRDC to be successfully accredited.

Background

Seafood Services Australia (SSA) was accredited as an SDO in 2006 to develop Australian Standards in the seafood industry. SSA had one accredited Australian Standard, the Australian Fish Names Standard AS 5300.

SSA closed its doors in 2013 which meant that the Australian Fish Names Standard would have reverted to Standards Australia or ceased to be supported.

FRDC therefore accepted responsibility for the Australian Fish Names Standard and other standards which are to be developed.

Aims/objectives

The timeframe that had been set to achieve this milestone was extremely tight. The aim was to have FRDC accredited by 1 October 2013. The ABSDO audit was conducted on 2 and 3 September 2013 and ABSDO accreditation granted on 11 September 2013.

The main aim was to develop procedures that were integrated into existing FRDC quality procedures.

Methodology

The Principal Investigator of the project has vast experience in the development of procedures to meet ABSDO accreditation requirements. This experience was invaluable in meeting the very tight deadlines.

Access to resources that had been previously developed at SSA was also very beneficial in developing streamlined procedures.

Prior to the commencement of the project, an action plan was developed which set the work plan for the timely completion of the project.

Results/key findings

The objectives of the project were realised within the anticipated timeframe and FRDC was accredited as a developer of Australian Standards on 11 September 2013.

Following advice that the application for accreditation as a standards setting body had been successful, FRDC immediately took steps to:



- Reconstitute the Australian Fish Names Committee, the SDC responsible for the development and maintenance of the Australian Fish Names Standard;
- Develop a *Deed of Assignment of Intellectual Property* to transfer the intellectual property associated with the Australian Fish Names Standard from SSA to FRDC

The development and maintenance of the Australian Fish Names Standard and other seafood industry related standards will now continue through FRDC as the accredited SDO.

Implications for relevant stakeholders

It was important for all sectors of the seafood industry to have control over the maintenance and ongoing development of seafood industry related Australian Standards.

The success of this project also ensures that the hard work that has been done by a number of people in the development and maintenance of the Australian Fish Names Standard will continue.

It will also facilitate the timely development of a range of seafood industry standards using the methodology that has been developed as part of this process.

Keywords

Australian Fish Names Standards, Standards Development Organisation, standards development



Introduction

The Australian Board of Standards Development Organisations (ABSDO) accredits suitable organizations to develop Australian Standards.

Organisations seeking to be accredited as an SDO are required to have:

- Appropriate recognition and standing within its industry;
- An appropriate level of corporate governance procedures in place;
- Adequate resources to undertake the Standards development activities.

Their standards development processes and administrative procedures must be rigorous and the organisations must operate a Complaints Procedures to resolve any issues and concerns arising from their Standards development activities.

SSA was initially accredited as a developer of Australian standards within the scope," To develop Australian Standards in the fields of terminology, sustainability, and operational practices in the seafood industry".

SSA had been reaccredited as an SDO in 2013 for a further three years. However, SSA was no longer financially viable and the SSA Board decided to wind the company up in late 2013.

One of the main Standards Australia conditions of accreditation of SDO's is that, when an organization is no longer accredited, the standard and all associated materials and IP are handed to Standards Australia.

This effectively means that the Australian Standard for Fish Names would be given to Standards Australia and not touched for another 5 years. This was, however, not acceptable to the seafood industry as the AFNS is a dynamic standard with changes keeping pace with new knowledge, etc.

FRDC agreed that the seafood industry needed to continue to have an accredited SDO and sought to become accredited as an SDO with the same scope to that held by SSA.

Alan Snow was asked to assist FRDC in this exercise because of his experience in:

- His role in getting SSA accredited as an SDO initially;
- His ongoing role with he Fish Names Committee and ensuring that SSA continued to meet the ABSDO Requirements for Accreditation;
- His ongoing role with in developing systems and documentation for another Standards Development Organisation.

The key premises that underpinned the project were:

- 1. The standards development procedures will interface with the existing FRDC Quality System and procedures;
- 2. As much as possible, the standards development policies and procedures will be similar to those already developed through SSA;
- 3. The IP and documentation associated with SSA's accreditation were available for use;
- 4. The exercise would be completed as quickly as practicable without compromising the procedures development.



Objectives

The objectives of this project were:

- A submission to ABSDO addressing all criteria in the document <u>Requirements</u> for Accreditation of Standards <u>Development Organisations</u>) is developed stating the case for FRDC to be accredited as an SDO.
- 2 FRDC is accredited by ABSDO as a Standards Development Organisation
- All standards development manuals are rebadged and rewritten where necessary to align with FRDC administrative processes

All objectives were achieved



Discussion

What are standards

Standards are published documents setting out specifications and procedures designed to ensure products, services and systems are safe, reliable and consistently perform the way they were intended to. They establish a common language which defines quality and safety criteria.

Standards can be guidance documents including:

- Australian Standards;
- International Standards and Joint Standards;
- Codes:
- Specifications;
- Handbooks; and
- Guidelines.

These documents are practical and don't set impossible goals. They are based on sound industrial, scientific and consumer experience and are constantly reviewed to ensure they keep pace with new technologies.

They cover everything from consumer products and services, construction, engineering, business, information technology, human services to energy and water utilities, the environment and much more.

Benefits of Australian Standards

The Australian Standard brand has a high level of community awareness and provides consumer confidence. The 7 benefits of Australian Standards are listed below.

1. Standards protect Australians

Australians at home, at play and at work are made safer by Standards. Traffic lights, footpaths, power points, seatbelts and child restraints, air quality, smoke and fire alarms are all underpinned by Australian Standards. Standards give businesses and consumers confidence that the goods and services they are developing or using are safe, reliable and will do the job they were intended for.

Standards help consumers make everyday choices between one product and another. They protect Australian tradesmen - builders, electricians, plumbers - and their customers. Government public health, safety and environment policies are often measured against Australian Standard yardsticks

2. Standards support Australian innovation

Standards provide a platform on which to build new and exciting ideas. As our world changes, new Standards are introduced to reflect the latest technologies, innovations and community needs - redundant Standards are discarded. New closed circuit television Standards, infrastructure protection Standards and luggage safety advice will protect all Australians and are a direct response to community concerns around security issues. New risk management Standards have improved



business practice while information and communications technology Standards have helped spread 'cutting edge' practices across emerging industries.

3. Standards boost Australian production and productivity

Australian manufacturing, materials handling, mechanical systems and components. Standards save businesses time and money. Standards cut production costs. They drive economies of scale, the use of common parts and specifications, help cut energy bills and foster new technologies. The Federal Government uses Australian and international record-keeping Standards to handle and move information around its vast networks. Small businesses become more efficient and grow by using Standards, guidelines and handbooks developed by industry experts.

4. Standards make Australian businesses more competitive

Products that comply with Australian Standards have a competitive edge over products that don't - consumers know the difference. Businesses know products made to Australian Standards have more credibility - whether it's a bike helmet, baby capsule or complaints handling system. Australian exporters using international Standards have a head start when they move into overseas markets. International aerospace, food and medical equipment markets all have strict Standards that can dictate success or failure.

5. Standards link Australia to the world

Standards ensure products manufactured in one country can be sold and used in another. A nut made in Melbourne fits a bolt made in London, 35 mm film made in Australia will fit 35 mm cameras made in Japan. Standards reduce technical barriers to international trade, increase the size of potential markets and position Australian firms to compete in the world economy. Around 70 per cent of all new Australian Standards are based on international equivalents. Containers, electrical equipment, mineral sampling, data packaging, PIN management - just a few of the Australian Standards that link local businesses directly with international markets.

6. Standards complement Australian regulation and make markets work better

Around a third of all Australian Standards form some part of Territory, State or Federal law. They are at the heart of the Australian Building Code and the Trade Practices Act. They help governments craft laws to protect the community and defend against terrorism. Standards help make laws and regulations consistent across Australia. By using a Standard, a South Australian consumer law becomes consistent with a NSW fair trading regulation. Standards offer an alternative to regulation, with less red tape and business costs, while still providing security for families and small business consumers.

7. Working on Australian Standards rewards participants

Being a part of an Australian Standards development team has its own rewards - increased knowledge, stronger business networks and competitive advantages. There is no better professional development than working with peers and colleagues drawing up an Australian Standard. There is no better personal satisfaction than knowing an Australian Standard has made the world a safer place.



Benefits of accreditation to FRDC

Under the ABSDO process, FRDC as an accredited SDO retains full control and intellectual property rights over the Australian Standards that it develops.

Benefits of accreditation to FRDC include:

- Ownership of the Standards development process in terms of determining the level of resources and timeframes to meet its sector requirements in consultation with stakeholders.
- Building stakeholder confidence in the organisation by involving all sections of the sector in the development of Australian Standards.
- A more efficient and effective industry / sector through the promotion and adoption of nationally recognised Australian Standards.

Resources, processes and infrastructure required

Some of the key requirements that FRDC needed to be able to demonstrate to be accredited as an SDO included:

- Credibility and repute within the sector to support its accreditation in the area of standardisation.
- Knowledge of the sector's interests and issues and be representative of the sector for which accreditation is sought.
- The resources and processes to carry out the Standards development work within its scope of accreditation.
- Demonstrated neutrality and independence.

Steps taken by FRDC

FRDC determined that accreditation was necessary to ensure the standards development activities that had been completed and were planned for the future would continue.

The steps that FRDC took immediately were:

- 1. To determine that FRDC would seek to become accredited as an SDO;
- 2. To engage a person who had the necessary skills and knowledge to undertake the task (Alan Snow)
- 3. To contact the Standards Australia and ABSDO secretary to advise of their intentions to seek accreditation with the same scope to that originally held by SSA;
- 4. To request meet with the ABSDO secretary and discuss key points and timelines of the accreditation.

Alan Snow was engaged to undertake the project and immediately developed an agreed Action Plan for the project. The Action Plan and completion dates are attached below.



Methodology

Process for accreditation

ABSDO has developed a series of policies and documents to assist organisations to achieve accreditation as an SDO

The following documents were used throughout this process to ensure that the new FRDC procedures were compatible with existing procedures and met ABSDO requirements for accreditation.

- Requirements for Accreditation of Standards Development
 Organisations; ABSDO (2013); available at
 http://www.absdo.org.au/pdf/Requirements for Accreditation.pdf;
- Criteria for designation as an Australian Standard; ABSDO (2013); available at http://www.absdo.org.au/pdf/Criteria for Designation as an Australian Standard.pdf
- 3. Existing documentation from SSA;
- 4. Existing FRDC quality policies and procedures.

Key requirements for accredited Standards Development Organisation (SDO) are:

- To have appropriate recognition and standing within its industry;
- To have an appropriate level of corporate governance procedures;
 and
- To have adequate resources to undertake the Standards development

Standards development processes and administrative procedures must be rigorous and the organisations must operate a Complaints Procedures to resolve any issues and concerns arising from their Standards development activities.

SDOs are audited by ABSDO to ensure adequacy against the Requirements and periodically reassessed to verify on-going compliance.

The steps taken by FRDC to achieve accreditation are shown in Figure 1: Steps to Accreditation.

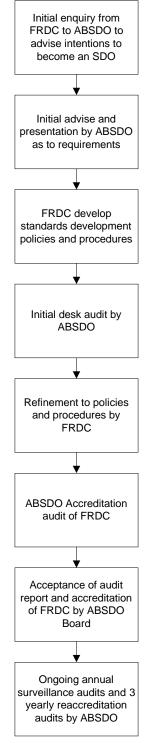


Figure 1: Steps to accreditation



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Guiding principles to be adhered to

There were a number of agreed principles that formed the basis for the accreditation of FRDC.

These were essentially:

- The scope of accreditation sought by FRDC was to be similar to that previously held by SSA;
- The standards development and maintenance activities would be one element of the FRDC quality system and use existing procedures as appropriate;
- All policies and procedures would have the FRDC look and feel and be integrated into the NEMO document management system;
- All FRDC policies and procedures would meet the two ABSDO standards development guidelines, "Requirements for Accreditation of Standards Development Organisations; ABSDO (2013)" and "Criteria for designation as an Australian Standard; ABSDO (2013)"
- Standards development policies and procedures would be generic in nature and applicable to any standards development activity that FRDC or research providers to FRDEC would undertake;
- Some additional procedures which were specific to the Fish Names Committee needed to be developed;

Steps taken by the Principal Investigator

The steps that the Principal Investigator took to complete the project were:

- 1. Immediately develop an action plan which set specific timelines to be met to complete the project in a timely fashion. The <u>Action plan for the project</u> is included in the report.
- A meeting was organised with the ABSDO secretary to discuss the project and to outline the
 reasons that FRDC was seeking accreditation. The ABSDO secretary had already discussed the
 proposal with Standards Australia and with the ABSDO Board who were supportive of the
 proposal.
- 3. A range of policies, procedures, and other documents were developed that demonstrated how FRDC would adhere to the guidelines, "Requirements for Accreditation of Standards



- Development Organisations; ABSDO (2013)" and "Criteria for designation as an Australian Standard; ABSDO (2013)". These are listed in Key Policies, Procedures and Guidelines developed as part of the project.
- 4. After the key documents had been prepared, an application was submitted to ABSDO to formally apply to ABSDO to become accredited as a standards setting body. The application addressed the key requirements for bodies seeking accreditation. A copy of the application, "FRDC Application to ABSDO to become an SDO" is filed on the FRDC document management system as document No 423,432.
- 5. A supplementary document was prepared for the audit which provided the evidentiary materials for the key elements of the ABSDO documents, "Requirements for Accreditation of Standards Development Organisations" and "Criteria for designation as an Australian Standard;" This evidentiary material is included in this document as Appendix 2 FRDC
 Evidentiary Materials to address ABSDO Requirements for accreditation. This document was used during the audit and was provided to the auditors at the audit.
- 6. The ABSDO audit was conducted at the FRDC premises on 2 and 3 September 2013. The Principal Investigator was heavily involved in the audit and needed to be on hand to provide advice to the auditors. Much of the information related to the previous activities undertaken by SSA in relation to the previous standards development.
- 7. The audit was very successful and only a small number of observations noted by the auditors. Many of these observations were completed during the audit. A document was prepared which identified the observations and the status of the observations. This document is included as Appendix 3 Actions following audits of Standards Development Function.
 - Many if these observations were not noted in the audit report but were noted during discussions as opportunities for improvement.

Action plan for the project

Action	Timeframe	Status		
Preliminary administrative steps				
Develop an action plan to get FRDC accredited as an SDO	Updated as required	Continually updated		
Develop a FishNET application and submit to FRDC	19 July 2013	Completed Project 2012-209.30		
Complete Milestone Report for SSA project 2012/209	26 July 2013	Completed		
SSA administrative steps -existing standard				



Action	Timeframe	Status		
Write to the Fish Names Committee members as the relevant Standards Development Committee advising them that SSA will cease to be a SDO on 31 July and that the FNC is being ended.	24 July 2013	Completed		
All standards development documentation relevant to the development of AS SSA 5300 to be sent to Standards Australia through the ABSDO Secretary	31 July 2013	Was not needed as a Deed of		
All standards development documentation relevant to the development of AS SSA 5300 to be sent to FRDC	31 July 2013	Completed and ongoing update on Nemo Document Management System		
Fish Names Searchable database to be relocated to FRDC server (or relocate www.fishnames.com.au)	31 July 2013	Not necessary – accessible through SSA website		
Ensure that the back end to the Fish Names database (the admin section) works and is accessible	31 July 2013	Completed		
Develop a Deed of Assignment of Intellectual Property to transfer the intellectual property associated with the Australian Fish names Standard from SSA to FRDC ti be signed by directors of SSA and FRDC	30 September 2013	Completed		
Ongoing FNC functions to be maintained		1		
Continue to collate feedback from the latest public consultation through fnc@seafoodservices.com.au (possibly redirect this email address)	Ongoing	Completed 24 September 2013		
Redirect fnc@seafoodservices.com.au	31 July 2013	Completed –redirected to ask@askonsulting.com.au		
Continue to accept applications to amend the AFNS	Ongoing			
Continue to respond to Fish Names enquiries	Ongoing			
Development of standards development documentation for FRDC				



Action	Timeframe	Status
Fred Reynolds (ABSDO Secretary) to meet with John Wilson and Alan Snow at FRDC	30 July 2013	Completed – 30 July 2013
Provide a briefing paper to John Wilson about :	13 August 2013	Completed – 26 July 2013
 The planned process for FRDC to become an accredited SDO; Board resolution regarding autonomy to the SDC (the FNC); 		423,194
Closing date for FRDC board papers	15 August 2013	Completed
Date for FRDC Board Meeting	28 August 2013	Completed
Develop a submission to ABSDO based on the document <u>Requirements for Accreditation of Standards Development Organisations</u>) ensuring all criteria have been addressed Scope of accreditation sought to be the same as SSA currently has.	13 August 2013	Completed and circulated through ABSDO Board Members by FRED R 422,778
		Completed
Create the FRDC Standards Development Policy Document	14 August 2013	Completed and Board sign-off 422,396
Create the FRDC Standards Development Procedures Manual	14 August 2013	Completed and Board sign-off 422,467
Discuss submission with FRDC prior to submission to ABSDO	16 August 2013	Completed
Submit documentation to the ABSDO Secretary for initial review and comment allowing time for amendment to documentation	19 August 2013	Circulated through ABSDO Board Members by FRED R 422,778 Completed
Develop supplementary procedures specific to the Fish Names Committee	29 August 2013	Completed 426,142



Action	Timeframe	Status
Action	Timejrume	Status
Refine FRDC accreditation submission	14 August 2013	Completed
following feedback from ABSDO Secretary		
Resubmit final version of Accreditation	15 August 2013	Completed
submission to ABSDO Secretary		
ABSDO independent auditor to conduct an	Scheduled for 2 and 3	Completed
audit of FRDC	September 2013	
Last date for distribution of ABSDO Board	Wednesday 30	Completed
papers	October 2013	
	Not necessary – Out of	
	session approval	
ABSDO Board will meet to assess the	Wednesday 13	Completed
application and the results of the audit	November	·
	Not necessary – Out of	
	session approval	
ABSDO Board approval of FRDC as an SDO	Not necessary – Out of	Completed
	session approval	·
		Advice given on 17 September 2013 by
		ABSDO that FRDC has
		been accredited as an
		SDO
Actions following successful audit and FRDC	is accredited as an SDO	
Re-establish the Fish Names Committee as the	11 October2013	Completed
relevant Standards Development Committee		
advising them that FRDC is accredited as an SDO and has been given coverage of AS SSA		
5300 by Standards Australia as it is in their		
scope of accreditation		
Organise and hold a Fish Names Committee	End October 2013	Still not resolved
meeting for final evaluation of applications		
• 210 – Deepsea Dory		
• 211 – Flake		
And initial evaluation on applications received		
(to date)		
• 213 – Sardinella		
• 214 – Rock Lobster		



Action	Timeframe	Status
215 – Seriola quinqueradiata		
Develop ongoing administrative procedures for the ongoing maintenance of SSA 5300 and additional standards in the pipeline	November 2013	Ongoing development
Edit of specific documents and procedures re	lating to Fish Names	
Audit of all documents referred to on fishnames.com.au	15 July 2013	Completed
Edit of FNC Amendment form to replace SSA with FRDC and other edits and replace on website		Completed
Ensure that fnc@seafoodservices.com.au is directed to Alan Snow	18 July 2013	Completed
All FNC documents edited and rebadged including	29 August 2013	Completed
 Project Registration and Development Plan SDC Members Code of Conduct Procedure Demonstrating Consensus Stds Development Committee Register Fish Names Application Cover Sheet Fish Names Application Form Fish Names Stakeholder Feedback Form Fish Names Stakeholder Feedback Summary Nomination Form FNC Members Fish Names Protocols Schedule of Fees Skills Matrix FNC Members 		



Implications

The success of this project and accreditation by FRDC as a standards setting body will open the way for many more specific seafood industry standards to be developed.

There is currently only one seafood industry standard, the Australian Fish Names Standard (AS 5300)

A number of additional standards are being considered or are currently under development.

Further Development

A number of additional tasks need to be completed to finalise the transition to FRDC of the Australian Fish Names Standard and other activities.

Re-badging of the AS 5300

The Australian Fish Names Standard contains a number of references to Seafood Services Australia including the logo, etc. This documents needs to be rewritten and rebadged to make the document look and feel as a FRDC standard. This will need some minor changes to the standard which will need to be subjected to public consultation, etc. This will be completed in 2014.

Re-badging of the old Fish Names website

The web address www.fishnames.com.au contains a considerable amount of information and links to the Australian Fish Names Standard including the searchable database. This site should be redeveloped with a FRDC look and feel. This will be completed in 2014.

Preparation for the next audits

FRDC will now undergo annual surveillance audits from ABSDO with reaccreditation audits every three years.

The evidentiary materials document which has been developed should be maintained and updated on an annual basis prior to audits. This will greatly facilitate auditing and will assist in ensuring that FRDC continue to meet the audit criteria.

Integration into FRDC quality system

The standards development activities and adherence to ABSDO policies and procedures should be integrated into FRDC's existing quality system and procedures. This should happen as soon as practicable.

Extension and Adoption

Success of this project has been promoted and will continue to be promoted through normal FRDC communication channels.



Project materials developed

Key Policies, Procedures and Guidelines developed as part of the project

A number of policies, procedures and other documents were developed as part of the project. These documents are available on the FRDC document management system and will be uploaded tot he revamped website in the near future

Document	FRDC Document No
Standards Development Policy	426,790
Sets the policies that will guide the standards	
development activities of FRDC and research providers to FRDC	
BP 21 Procedure Standards Development	426,402
The procedures that must be adhered to when FRDC is undertaking standards development activities. All persons involved in FRDC standards development activities will be required to adhere to these procedures.	
BP-33 Fish Names Committee	431,089
These are the additional Fish Names Committee specific standards development procedures that are to be read in conjunction with BP 21 Procedure Standards Development	
Project Registration and Development Plan	422,471
	422,471
The document to be used to advise Standards Australia of a new standards development activity	
Std Development Committee Register – Template	422,542
A register of membership of standards development	
committees needs to be maintained. This is the template	
to be used which contains all required information	
SDC Members Code of Conduct	422,566
The code of conduct for all SDC members which is to be	
read in conjunction with the Standards Australia guideline,	
"Standardisation Guide 004 - Roles & Responsibilities of Standardisation" and "Standardisation Guide 002 -	
Structure and Operation of Standardisation Committees"	
Demonstrating Consensus	422,802
A guide to achieving consensus in meetings of standards	



Document	FRDC Document No
development committees	
Fish Names Stakeholder Feedback Form	426,196
The form to be used by respondents as part of public consultation into amendments to the standard	
Nomination Form – FNC Members	426,198
Form to be used for nominees to standards development committees including the FNC	



Appendices

Appendix 1 - FRDC Certificate of Accreditation

accreditation board for standards development organisations

Certificate of Accreditation as a Standards Development Organisation

The Fisheries Research and **Development Corporation (FRDC)**

is hereby accredited to develop Australian Standards in the fields of terminology, sustainability, and operational practices in the fishing industry.

Period of Accreditation: to 1 October 2016

man D Martin Dwyer, Chairman

Accreditation Board for Standards Development Organisations (ABSDO)

11 September 2013







Appendix 2 - FRDC Evidentiary Materials to address ABSDO Requirements for accreditation



ABSDO Audit Criteria

Supporting Comments and Documentation

Alan J Snow

Version - Monday, 19 August 2013



1 Standing

The organisation must be of good standing and suitable to undertake standardisation in the area in which accreditation is sought

Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
1.1 The organisation must:					
a. Have sufficient credibility and repute within its business sector, profession and/or industry to support its accreditation in the area of standards development	С	The FRDC also maintains strong relationships with its stakeholders: research partners, including state departments, the Commonwealth Scientific and Industrial Research Organisation (CSIRO), universities, cooperative research centres (CRCs), other rural RDCs and companies; industry groups; and co-investors in the private sector. The FRDC has a significant responsibility in ensuring, on behalf of the Australian Government, that research is undertaken to assist in the management of the fisheries resource for ongoing sustainability. This means that a significant proportion of funding is directed at research that has a public good benefit. It will continue to create and nurture new relationships to meet changing priorities e.g. extension; social; people development; indigenous communities			
		Supporting Documentation			
		2013-2014 Operational Plan Executive Summary	2013-14 Annual Operational Plan		
		FRDC 2011-2012 Annual Report (About the FRDC – Part 1)	Corporate documents on website		
		Evolution of the FRDC – to 2012 – (Section 2011-2012)	Corporate documents on website		



Criteria	Status	Evidence	Location		
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
b. Demonstrate a knowledge of the sector's interests and the issues impacting the sector sufficient to carry out the Standardization work	С	The FRDC supports a network of Fisheries Research Advisory Bodies (FRABs) covering Commonwealth fisheries and the fisheries of each state and the Northern Territory. The FRABs have an extremely important role in optimising the efficiency of the FRDC's planning and investment processes. In the 2009-10 funding round approximately 95 per cent of all open call applications were submitted through, or reviewed by, the FRABs.			
		The FRABs represent all sectors of the fishing industry, fisheries managers and researchers; and most also include environmental and other community interests.			
		This network of FRAB's will guide the FRDC in stand	dardisation activities.		
		The Research Development and Extension Plan (How the FRDC plans its RD&E 2013-2014 Operational Plan Relationship with Stakeholders,	Hard copy and <u>on Nemo</u> 2013-14 Annual Operational Plan		
		FRDC 2011-2012 Annual Report Surveying the stakeholders	Corporate documents on website		
		Advisory Groups – website	FRDC Website		
		Evolution of the FRDC – to 2012 – (Section 2011-2012)	Corporate documents on website		



Criteria	Status	Evidence	Location
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable			
c. Demonstrate that they will be able to support and maintain Standards into the future;	С	The vision of the Fisheries Research and Developme fishing and aquaculture industry, supporting and a prosperity; and wisely using the natural resources. FRDC's Mission is Increased knowledge that foster and social benefits for the Australian fishing indust commercial and aquaculture sectors, and the communication development and adoption Sources of funding; resources that the FRDC Organisation chart is attached. The FRDC for standards development The FRDC is ISO accredited and has a commitment accreditation as an SDO is a natural fit.	dopting world-class research to achieve on which it depends. s sustainable economic, environmental try; including indigenous, recreational, munity; through investing in research, silience of these resources. OC co-opts additional staff as required, e.g.
		2013-2014 Operational Plan Relationship with Stakeholders Page 3, 15-17 and Annual AOP 2013-14 Budget Organisational Chart FRDC 2011-2012 Annual Report (Planned Outcome for the Corporation and Part 3) FRDC Policy Standards Development (Section 7)	2013-14 Annual Operational Plan Corporate documents on website 422,396



Criteria	Status	Evidence	Location			
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
d. Be a registered legal entity.	С	The Fisheries Research and Development Corporation (FRDC) is a co-funded partnership between its two stakeholders, the Australian Government and the fishing industry. It was formed as a statutory corporation on 2nd July, 1991, under the provisions of the Primary Industries and Energy Research and Development Act 1989 (the PIERD Act 1989) and is responsible to the Minister for Agriculture, Fisheries and Forestry. The FRDC is a Commonwealth Government entity The FRDC is exempt from all forms of taxation except FBT, payroll tax, and GST.				
		FRDC 2011-2012 Annual Report (Corporate Governance) 2013-2014 Operational Plan Relationship with Stakeholders Page 3, 15-17 and Annual AOP 2013-14 Budget FRDC 2011-2012 Annual Report (Financial reports – 1.17 Taxation Evolution of the FRDC – to 2012 – (Section 2011-2012)	Corporate documents on website 2013-14 Annual Operational Plan Corporate documents on website Corporate documents on website			
1.2 The organisation seeking accreditation shall be representative of the sector of interest for which accreditation is sought and this may be tested by seeking the views of that sector of interest as part of	С	The FRDC also maintains strong relationships with its other stakeholders: research partners, including state departments, the Commonwealth Scientific and Industrial Research Organisation (CSIRO), universities, cooperative research centres (CRCs), other rural RDCs and companies; industry groups; and co-investors in the private sector. (See above 1.1 a and b				



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
consideration of the application.		FRDC 2011-2012 Annual Report (About the FRDC, and Surveying the stakeholders) 2013-2014 Operational Plan Executive Summary,	Corporate documents on website 2013-14 Annual Operational Plan		
		Relationship with stakeholders	2015-14 Allitudi Operational Plan		
		The Research Development and Extension Plan (How the FRDC plans its RD&E	Hard copy and <u>on Nemo</u>		
		Advisory Groups – website	FRDC Website		

2 Resources

The organisation must be appropriately resourced to carry out Standards development work within the scope of accreditation, and to do so in a reasonable time frame.

Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
2.1 The organisation must have sufficient resources to comply with any reasonable request to develop a Standard within the proposed scope of accreditation.	С	FRDC has an operating budget of \$27 million which be allocated and approved on a case by case basis to Care funding will be permanently available to main core infrastructure activities such as administration FRDC has a core staff for specific purposes (e.g. Qui contract additional staff as the need arises for additional staff and the need arises for additional staff as the need arises for additional staff and the need arises for additional staff as the need arises for additional staff as the need arises for additional staff as the need arises for additional staff and the need arises for additional staff as the need arises for additional staff and the need arises for addit	for standards development activities. tain FRDC SDO accreditation activities and and an amount of the ISO system, etc. ality Manager, IT support, etc) and will	



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
		development. E.g. Alan Snow is contracted as project manager of project.	the Australian Fish Names Standard		
		Supporting Documentation 2013-2014 Operational Plan (Budget and staffing) FRDC Policy Standards Development (Section 7) Organisational Chart	2013-14 Annual Operational Plan 422,396		
2.2 The organisation must have: a. The capacity to meet the cost of Standards development activities within the scope of accreditation	С	FRDC has ongoing revenue source budgeted as \$27 years but will remain at a significant level to facilital Standardisation activities are included in the budge maintenance of the AS SSA 5300 and other standar Responsible fishing project, previously through fun Standardisation activities included in budget; three develop standard) Continuing sources of funds 2013-2014 Operational Plan (Budget and staffing)	et for 2012-14 for the development and eds development activities such as the ding to Seafood Services Australia.		



Criteria	Status	Evidence	Location		
Status: C – Cor	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		FRDC 2011-2012 Annual Report (Audited Accounts)	Corporate documents on website		
b. the capacity to achieve effective engagement with all key stakeholders;	C	 FRDC has a highly effective communication strateg Effective website Facebook page, twitter Regular media releases with a communication Attendance/participation in seafood indust Printed media including Annual reports, operand FRDC website FRDC Website – Latest News, Publications and events FRDC Website – News and Media FRAB's network – website 	ions team ry trade shows, etc		
c. Adequate resources or access to such resources and staff who are competent to perform Standards development activities effectively	С	See 2.1 The FRDC funding model for standards development that FRDC plays as an SDO. Standards development funded in a case by case basis through the normal FRDC has access to people skilled in Standards Dev funding. E.g. Alan Snow will continue with standards	et activities will then be evaluated and project application mechanism. elopment through competitive project		



Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		to FRDC.		
		Other FRDC staffs are skilled in the development a	nd maintenance of ISO systems.	
		The people involved in the standards development activities will be establis mix of technical expertise and standards development expertise.		
		2013-2014 Operational Plan (Budget and staffing)	2013-14 Annual Operational Plan	
		Organisational Chart	2013-14 Allitual Operational Flati	
		FRDC Standards development policy (Section 7,	422,396	
		Section 10.2)		
d. sufficient resources available to participate in relevant	TBD	FRDC is not considering international standards de however, be monitoring international standardisat	•	
international Standards development work; and		involved as and when needed.		
development work, and		FRDC will be guided by Standardisation Guides 007	and 0-15 in this regard.	
		FRDC Standards development policy (Section 7, Section 19)	422,396	
e. Resources to maintain Standards	С	Also covered in 1.1 (a)		
within the proposed scope of accreditation into the future.		Evidence of financial security and long term fur	nding availability.	
		Forward estimates		
		Documented commitment to doing this.		



Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		FRDC 2011-2012 Annual Report (About the FRDC)	Corporate documents on website	
		2013-2014 Operational Plan Executive Summary and budget	2013-14 Annual Operational Plan	
		FRDC Standards development policy (Section 7)	422,396	

3 Standards Development Process

The organisation must have documented processes for Standards development which satisfy the *Criteria for Designation as an Australian Standard*.

Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
1.1 The Standards development processes must address:	С	Procedures have been developed and are being co required	ntinually monitored and updated as		
The evaluation of new work items (including evaluating the need and benefits of proposed Standards)		FRDC policy determines the responsibilities for all parties in Standards development FRDC Standards development procedure outlines the steps to be taken including the evaluation of value and relevance of new work items			
benefits of proposed Standards)		Supporting Documentation FRDC Standards development policy (Section 8, Section 10)	<u>422,396</u>		



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
		FRDC Standards development procedure BP 21 (Section 11, Figure 3)	422,467		
b. submission of new work items to the Secretary of the ABSDO;	С	FRDC policy regarding cooperation with ABSDO and FRDC Standards development procedure outlines the project plan to ABSDO including a template			
		FRDC Standards development policy (Section 8, Section 13) FRDC Standards development procedure BP 21 (Section 11, Figure 3, Section 11.4, Section	<u>422,396</u> <u>422,467</u>		
c. establishing a balanced Standards Reference Body;	С	14,Section 21, Attachment 1) The FRDC policy regarding establishing an SDC FRDC Standards development procedure outlines to project plan to ABSDO including a template FRDC Standards development policy (Section 8,	he steps to be taken for submitting a new		
		Section 10.3) FRDC Standards development procedure BP 21 (Section 13)	422,467		
d. planning and management of the Standards development process;	С	The FRDC policy regarding planning of standards development activity FRDC Standards development procedure outlines the steps to be taken for submitting a new project plan to ABSDO including a template			



	Criteria	Status	Evidence	Location	
	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
			FRDC Standards development policy (Section 8, Section 10) FRDC Standards development procedure BP 21 (Section 11.4,12, 13, 14)	<u>422,396</u> <u>422,467</u>	
e.	public review, including reviews of	С	FRDC policy regarding public consultation and stak	eholder feedback	
	stakeholder feedback;		FRDC Standards development procedure outlines the consultation	he steps to be taken for conducting public	
			FRDC Standards development policy (Section 8, Section 10.9, 10.10)	422,396	
			FRDC Standards development procedure BP 21 (Section 15)	422,467	
f.	achieving and demonstrating consensus; and	С	FRDC policy regarding decision by consensus FRDC Standards development procedure outlines to consensus	he steps to be taken for achieving	
			FRDC Standards development policy (Section 8, Section 10.8)	422,396	
			FRDC Standards development procedure BP 21 (Section 10.5, Attachment 3)	422,467	
			Reference to Standards Australia SG001		
g.	regularly reviewing and maintaining Standards.	С	FRDC policy regarding revision of standards and new versions of standards FRDC Standards development procedure discusses new editions and automatic withdrawals		



-	422,396 422,467 ate compliance with its own processes and ard for at least seven (7) years from the are stored on the server in a secure	
Section 11) FRDC Standards development procedure BP 21 (Section 18.4) The organisation must retain records to demonstrate the Criteria for Designation as an Australian Standard date of publication of a Standard. The FRDC retains records indefinitely and all files a	422,467 ate compliance with its own processes and ard for at least seven (7) years from the are stored on the server in a secure	
the <i>Criteria for Designation as an Australian Standa</i> date of publication of a Standard. The FRDC retains records indefinitely and all files a	ard for at least seven (7) years from the re stored on the server in a secure	
-		
	The FRDC retains records indefinitely and all files are stored on the server in a secure manner. Records are then stored as per the requirements of the Australian National Archive	
FRDC policy dictates records will be stored for a minimum of ten years		
FRDC Standards development policy (Section 15)	422,396	
FRDC Standards development procedure BP 21 (Section 22.1)	<u>422,467</u>	
The standards development processes are reviewed standards development activity. Standards development issues will be part of the conference of the confere		
FRDC Standards development policy (Section 8, Section 12)	<u>422,396</u> <u>422,467</u>	
	Standards development issues will be part of the control of the co	



Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		FRDC Quality Manual (Section 5.2.5.12)	419899	
		FRDC Quality Manual (Section 5.2.4.3)	419899	
3.4 The Standards development processes must be available for	C TBD	there is a genuine need for such an audit		
audit and to any other interested party.		FRDC policies and procedures regarding standards website	development will be available on the FRDC	
		FRDC Standards development policy (Section 8, Section 14)	422,396	
		FRDC Standards development procedure BP 21 (Section 20)	422,467	

4 Administrative Procedures

The organisation must have suitable written administrative procedures to support its Standards development processes.

Criteria	Status	Evidence	Location
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable			
4.1 The administrative procedures must include appropriate and	С	FRDC is accredited to AS/NZS ISO 9001 2008 and undertakes both internal and external audits of its operations.	



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
effective record keeping systems and document management systems, including a records management policy.		FRDC has a document management system in place based on SharePoint which has excellent records control. SharePoint can make files available to stakeholders as required. Through the Share Point system, all versions of documents are stored and can be retrieved or previous versions reinstated. Automatic version numbering is installed and ensures that previous versions can be recalled if needed.			
		Supporting Documentation FRDC Standards development policy (Section 8, Section 15) FRDC Standards development procedure BP 21 (Section 22) BP – 19 Records management Policy FRDC Privacy of Personal Information Policy	<u>422,396</u> <u>422,467</u> <u>234</u> <u>418747</u>		
4.2 The administrative procedures must be available for audit and	С	The FRDC administrative procedures are available feffectiveness	for audit and audited regularly for		
regularly updated to ensure effectiveness.		Supporting documentation FRDC Standards development policy (Section 8, Section 15) FRDC Standards development procedure BP 21 (Section 22) FRDC Quality Manual QP-05	<u>422,396</u> <u>422,467</u> <u>419899</u>		



Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		FRDC Quality Management System Audits	19118	

5 Neutrality and Independence

The organisation must demonstrate independence and neutrality throughout the Standards development process.

Criteria	Status	Evidence	Location			
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
5.1 The organisation must be operated so as to safeguard the objectivity and impartiality of the	С	FRDC policy safeguards the objectivity and impartial for standards development.	ality of individuals and groups responsible			
individuals or groups responsible for Standards development.		FRDC has a privacy policy which will be complied w Also, SDO members are expected to comply with the	· · · ·			
Note: The requirement for		The role of the SDC chair and the impartial facilitat the procedure	or are also highlighted in the policy and			
impartiality and neutrality does not preclude the organisation from having		Supporting Documentation				
an interest in the subject matter of the Standard, or from being		FRDC Standards development policy (Section 11.3, 12, 16) – Chair and facilitation	422,396			
represented on a Standards Reference Body. However the organisation must		FRDC Privacy of Personal Information Policy	418747			
be able to comply with the intent of		FRDC Standards development procedure BP 21 (Section 9.4, Attachment 4)	422,467			



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
Clause A.5 – Independent Facilitation of the <i>Criteria for Designation as an Australian Standard</i> .		FRDC Standards development procedure BP 21 (Section 10,4, 10.5, 16,)	422,467		
5.2 The decisions of Standards Reference Bodies developing Standards must not be able to be changed or over-ruled by the organisation without due process.	С	The autonomy of the SDC is included in the <i>Standa</i> authorised by the FRDC board Section 17 of the procedure covers process approv			
		Supporting Documentation			
		FRDC Standards development policy (Section 8.1, 9, 16.2)	422,396		
		FRDC Standards development procedure BP 21 (Section 7, 17, 18, 18.2)	422,467		
5.3 Communication concerning the content of a Standard between the	С	Section 8 of the policy describes the specific responsible SDO.	nsibilities of the governance structure and		
governance structure of the organisation and the Standards Reference Body must be documented,		Section 19.1 of the Procedure outlines the flow of information between the FRDC and the SDC.			
then and available for audit. The FRDC will also be advised through milestor standards development project.		eports, etc from the secretary/PI of the			
		Supporting Documentation			



Criteria	Status	Evidence	Location	
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
		FRDC Standards development policy (Section 8.1,8.3, 9, 16.2) FRDC Standards development procedure BP 21 (Section 19.1)	<u>422,396</u> <u>422,467</u>	

6 Complaints Procedure

The organisation must have a procedure for hearing complaints arising out of the Standards development process.

Criteria	Status	Evidence	Location			
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
6.1 The complaints procedure must:	С	The Quality Manual determines a commitment to i	mprovement.			
 be fair, unbiased, accessible and not impose any undue burden on the complainant 		Section 18 of the <i>Standards development policy</i> stacomplaints procedure.	tes that FRDC will maintain an unbiased			
b. provide for the timely hearing of complaints, and must attempt to		Section 23 of the <i>Standards development procedure</i> details the procedure to be used for handling complaints				
fully address each complaint; and		Supporting Documentation				
c. be reviewed regularly to ensure its effectiveness.		FRDC Quality Manual (Section 5.2.5.12)	419899			



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
		FRDC Standards development policy (Section 18)	422,396		
		FRDC Standards development procedure BP 23)	<u>422,467</u>		
		FRDC Corrective action procedure QP-03	<u>7419</u>		
		Recording and responding to stakeholder feedback CP-09	335,008		
6.2 A copy of the complaints procedure, all records related to	C TBD	FRDC policy states that the standards development procedures are available for audit where there is a genuine need for such an audit.			
the complaint and the outcome of each complaint must be available for audit, and to any		The policy and procedures manual for Standards D the FRDC website for any party for audit,	evelopment will be listed and available on		
other interested party.		Interested parties are defined in both the policy an	d the procedure.		
		Supporting Documentation			
		FRDC Standards development policy (Section 8, Section 14)	<u>422,396</u>		
		FRDC Standards development procedure BP 21 (Section 22, 23)	422,467		
		Recording and responding to stakeholder feedback CP-09	335,008		



7 Existing Rights and Obligations

The SDO must demonstrate that any existing rights and obligations related to current Standards within the scope of accreditation have been negotiated and agreed with the relevant SDO.

Criteria	Status	Evidence	Location			
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
7.1 The organisation must provide details of any agreements reached in relation to current Standards within the relevant scope of accreditation.	С	At initial application this is unlikely to occur. However, where an organisation is taking over work (either current projects or completed standards) from another organisation then agreements to continue the work are required, together with transfer of any IP or copyright material. • FRDC is taking over the work program from the Fish Names Standards Development from SSA. This process has been agreed to as FRDC was the finding body responsible for the standards development. • This is essentially covered in the Copyright statement in 17.1 and in a position put to the FRDC board				
7.2 Negotiations need not have	NA	FRDC board papers FRDC Standards development policy (Section 8, Section 17) • Does not apply but covered above	<u>422,396</u>			
been completed; however there must be evidence of a bona fide intention on the part of organisation and the existing SDO to reach such an agreement.						



8 Intellectual Property

The SDO will hold Intellectual Property Rights

Criteria	Status	Evidence	Location			
Status: C – Cor	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
8.1 All intellectual property rights created by the SDO will be owned by the SDO (unless otherwise agreed between the parties).	С	The copyright of all FRDC and former SSA developed standards will be held by FRDC Supporting Documentation				
agreed between the parties).		FRDC Standards development policy (Section 8, Section 17)	422,396			
8.2 The SDO must ensure that it owns all intellectual property rights in, or is duly licensed to incorporate any third party material (including without limitation any content, concepts, tables, diagrams, formulae and photographs) into, the Standard(s) developed by it and that the Standard(s) developed by it do not infringe the rights of any third party (including without limitation any intellectual property rights).	С	The copyright of all FRDC and SSA developed stand All SDC members are made aware of copyright issu attest to this fact The FRDC is well used to working with issues regard Probably need to develop a process (pro-forma) for organisations supplying material.	es and they sign the Code of Conduct to ding copyright in its day to day activities./			



Criteria	Status	Evidence	Location			
Status: C – Cor	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
		Supporting Documentation FRDC Standards development policy (Section 8, Section 17) FRDC Standards development procedure BP 21 (Attachment 4 Code of Conduct 5.8.e)	<u>422,396</u> <u>422,467</u>			

PART B - On-Going Requirements

9 Maintain Standards

The organisation must maintain and keep up to date Standards within the scope of its accreditation.

Criteria	Status	Evidence	Location			
Status: C – Co	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
9.1 Standards within the area of accreditation must be regularly reviewed to ensure that they are up-to-date and current. This includes a commitment to revising Standards at intervals determined	С	 FRDC policy and procedure relate to maintaining The timetable for standards development will be ABSDO website which will include the informat review. 	be entered on the "to be developed"			



Criteria	Status	Evidence	Location
Status: C – Co	mplies, D -	- updating or developing, TBD – To be developed, NA	A – Not Applicable
by the Standards Australia.			
		Supporting Documentation	
		FRDC Standards development policy (Section 8, Section 11)	<u>422,396</u>
		FRDC Standards development procedure BP 21 (Section 18)	422,467
9.2 The organisation must address any reasonable enquiries about Standards within the scope of accreditation.	С	 Requests for a standards development activity will come from a number of sources as documented in the FRDC Standards development policy. The FRDC will consider the application and assign the resources to conduct the activity 	
		Supporting Documentation	
		FRDC Standards development policy (Section 8, Section 7-10)	<u>422,396</u>
		FRDC Standards development procedure BP 21 (Section 11)	422,467



10 Co-operation and Liaison with Standards Australia

The organisation must co-operate and liaise with Standards Australia with the intent of enhancing communication and co-ordination of Standards development activities across industry and government.

Criteria	Status	Evidence	Location		
Status: C –	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
10.1 The organisation must:	С	FRDC has a policy that it will cooperate with ABSDO and make records available as require			
		The Standards development procedure is quite spentiment 1 – the Project Registration and Development Plan			
a. register all new Standards development projects with		Supporting Documentation FRDC Standards development policy (Section 8,	422,396		
the Secretary of ABSDO prio to commencing substantial		Section 13)	422,350		
work;		FRDC Standards development procedure BP 21 (Section 11, 21)	422,467		
		FRDC Standards development procedure BP 21 (Attachment 1)	422,467		
b. prepare and make available to the Secretary of ABSDO a	C	As above			
up to date Development Pla		Supporting Documentation			
for each Standards development activity;		FRDC Standards development policy (Section 8, Section 13)	422,396		
		FRDC Standards development procedure BP 21 (Section 11, 21)	422,467		
		FRDC Standards development procedure BP 21 (Attachment 1)	422,467		



Criteria	Status	Evidence	Location		
Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable					
c. Comply with all policies and procedures of the Accreditation Board for Standards Development Organisations ('ABSDO'), including for the	SSDO and make records available as cific in what t will do with an attachment 1				
determination and funding of appeals;		Supporting Documentation FRDC Standards development policy (Section 8, Section 13) FRDC Standards development procedure BP 21 (Section 21)	<u>422,396</u> <u>422,467</u>		
d. comply with licensing requirements relating to the use of the trademark 'Australian Standard®' and any associated graphics;	TBD	This is new and cannot be complied with in full unt be covered in the accreditation agreement. FRDC has a policy that it does so Supporting Documentation FRDC Standards development policy (Section 8, Section 13)	il requirements have been finalised. It will 422,396		
e. make copies of draft and final documents available to Standards Australia via the	С	FRDC has a policy that it will cooperate with ABSDC SSA certainly did this with regards to the Australian do so.			



Criteria	Status	Evidence	Location		
Status: C	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
ABSDO Secretariat, as required;					
		Supporting Documentation			
		FRDC Standards development policy (Section 8, Section 13)	422,396		
		FRDC Standards development procedure BP 21 (Section 17, 21)	422,467		
f. notify the ABSDO of any significant changes in the organisation's Standards	С	The FRDC Standards development policy states the significant change to the standards development	•		
development processes a obtain any necessary	na	Supporting Documentation			
approval of those process	es;	FRDC Standards development policy (Section 8, Section 13)	<u>422,396</u>		
		FRDC Standards development procedure BP 21 (Section 21)	422,467		
g. submit to the ABSDO's determination where the	C	As above – Covered in the procedures			
a conflict in the scope of	C 13	Supporting Documentation			
areas of accreditation;		FRDC Standards development procedure BP 21 (Section 21)	422,467		



Criteria	Status	Evidence	Location
Status: C – G	Complies, D -	updating or developing, TBD – To be developed, N	NA – Not Applicable
h. co-operate with Standards Australia and ABSDO	С	The FRDC has a policy in place for this. SSA has do immediately that the scope of accreditation was	•
regarding hand-over of relevant information and records where an SDO's accreditation ceases;		Supporting Documentation FRDC Standards development procedure BP 21 (Section 21)	422,467
i. co-operate with Standards	С	As above – Covered in the procedures	'
Australia regarding activities of mutual interest; and		Supporting Documentation	
		FRDC Standards development procedure BP 21 (Section 21)	422,467
j. pay all relevant fees to the ABSDO to achieve and	С	Depends on the outcome of the audit	
maintain accreditation.		Supporting Documentation	
		FRDC Standards development procedure BP 21 (Section 21)	422,467



11 Submit to Audits by the ABSDO

The organisation must submit to surveillance audits by the ABSDO to establish on-going compliance with the requirements for accreditation and the organisation's suitability for on-going accreditation.

Criteria	Status	Evidence	Location
Status: C – Co	mplies, D -	- updating or developing, TBD – To be developed, NA	A – Not Applicable
11.1 The scope and frequency of such audits will be as determined by the ABSDO and set out in the organisation's accreditation conditions. However, such audits will not exceed what is reasonable to establish on-going compliance and suitability for accreditation.	С	 This is a statement with no requirements. However, for the main clause it does place oblithat the organisations management is aware or 	
		Supporting Documentation	
		FRDC Standards development procedure BP 21 (Section 21)	<u>422,467</u>



12 International Participation

The organisation may act as the Australian mirror committee for the purposes of participation in the technical work of ISO or IEC where that work falls within the scope of the organisation's accreditation

Criteria	Status	Evidence	Location		
Status: C – Cor	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
12.1 Where work in committees of ISO or IEC parallel the scope of work of the Standards Development Organisation, Standards Australia may assign that participation to the SDO. Participation will include commenting and voting on international drafts and briefing of delegates to international meetings in accordance with the policies set out in Standards Australia's Policy Guide on Australian Involvement in International Standardization	TBD	The FRDC is not considering international standards development in the short term. It will however, be monitoring international standardisation activities for relevance, and will become involved as and when needed. The FRDC will be guided by Standardisation Guides 007 and 0-15 in this regard. Supporting Documentation			
		FRDC Standards development policy (Section 7, Section 19)	422,396		
12.2 All ISO and IEC communications shall be through Standards Australia, and Standards Australia shall accredit delegates for such matters as attendance at meetings.	TBD	 If, at application, international participation is the intention then the following: Process for managing communications between the SDO and SA and the ISO/IEC Committees etc. Process for responding to request for funding. 			



Criteria	Status	Evidence	Location		
Status: C – Cor	Status: C – Complies, D – updating or developing, TBD – To be developed, NA – Not Applicable				
Requests for funding assistance by Standards Australia for delegates to attend international meetings will be in accordance with Standards Australia guidelines and shall include the recommendation of the SDO's Standards Reference Body		Supporting Documentation			



Appendix 3 - Actions following audits of Standards Development Function

Audit Observation	Severity (Observation, non-Conformance, etc)	Actions to Remedy	Date completed
Audit	ABSDO Accreditation	n Audit	
Date	2 nd September and 3	^{3rd} September 2013	
Auditor	Stan Rodgers/David	Syme	
Nil	Major Nonconformities		
Nil	Minor nonconformities		
Clause 1.1c - The current technical understanding of the standard development activities resides with the consultant. As FRDC holds a current JAS-ANZ accredited Quality Management System certificate, the standards development activities should be rolled into this system to reduce potential future risks when the consultant is no longer contracted by FRDC	Observation	This will happen and has already commenced. The Standards Development function will become part of the overall quality management activities of FRDC	
Clause 3.1 - The SDC Register is not clear with linking back to the Skills Matrix, which demonstrates how the additional independent Expertise Based Members fill the skills and knowledge void of existing Members on the Reference Body.	Observation	The skills Matrix needs to be added to the Committee Register rather than as a standalone document This has been completed for Attachment 3 of BP 21 Standards development procedure (422,467) The SDC Register Fish Names Committee (422,541) the SDC Committee Register Template (422,542	Completed 3/9/2013
Clause 3.2 - The Standards Development Procedure BP-21 does not cover all of the current retention	Observation	Need to reference the Disaster Recovery procedure in the Procedure – Section 22.1	3/9/2013



Audit Observation	Severity (Observation, non-Conformance, etc)	Actions to Remedy	Date completed
measures or reference the procedure that does cover these measures.		Refer to the Disaster Recovery procedure in <u>BP05 Recovery Procedure</u> (24,666)	
Clause 4.2 – The current SDC system has yet to be fully integrated into the FRDC's accredited ISO 9001 QMS.	Observation		
Clause 6.1 - The current customer complaints process is not fully integrated into the FRDC system which may lead to unnecessary duplication and should be completed as soon as possible.	Observation	Need to have a complaints register Also need to reference the Complaints Register in CP-09	
1. Confirmation that AS SSA 5300 has been amended to show that the Standard is now under the control of the FRDC as an accredited SDO.	Recommendation – next surveillance audit	 Amendment 1 – Change Name from SSA to FRDC (end October) Also may need to change the introduction to the standard Amendment 2 – As per normal amendments to the existing standard 	
2. Confirmation that a recurring budget allocation is in place to maintain the standard beyond the current 2 year funding period.	Recommendation – next surveillance audit		
3. Ongoing confirmation that the FRDC has no involvement with international standards (should it do so the procedures would need to be amended).	Recommendation – next surveillance audit		
4. Confirmation that customer complaints regarding standards development or availability are fully integrated into the FRDC system.	Recommendation – next surveillance audit		
5. That SSA badging for the website that contains the "Find A Fish Name" search engine has been transitioned to FRDC.	Recommendation – next surveillance audit		
6. Confirmation that the guide on the use of an "Australian Standards" mark, licensing requirements being developed by Standards Australia is	Recommendation – next surveillance audit	Will follow when the guide is released by Standards Australia	



Severity (Observation, non-Conformance, etc)	Actions to Remedy	Date completed
Observation for improvement	split the Definitions into Normative and Informative	Completed
	422,396	3/9/2013
	422,467	3/9/2013
Observation for improvement		
Observation for improvement	We should look at a TR style document for some things e.g. the Fish names pages with information, pictures, etc could be developed as a TR I am considering a TR about labeling which would be a guidance type document Also as an example ISO/TR 14069:2013 Consider the Fish Stock Status	
	Observation for improvement Observation for improvement Observation for improvement	(Observation, non-Conformance, etc) Observation for improvement Observation for improvement Observation for improvement We should look at a TR style document for some things e.g. the Fish names pages with information, pictures, etc could be developed as a TR I am considering a TR about labeling which would be a guidance type document Also as an example ISO/TR 14069:2013



Audit Observation	Severity (Observation, non-Conformance, etc)	Actions to Remedy	Date completed
BP 21 Standards development procedure (Section 9.1) Concern that membership of a SDC could be limiting in some cases e.g. where a SD process was almost completed	Observation for improvement	A Clause 4 has been added The FRDC may at its discretion, extend the term of an SDC member under special circumstances where the technical expertise or leadership of the SDC member is required to enable the standards development activity to be completed effectively. 422,467	Completed 3/9/2013
Need to describe what a standard is to do in FRDC Policy Standards Development (Observation for improvement	Look at definition in Standardisation Guide 001 - Preparing Standards Definition strengthened and added to the Policy document 422,396	Completed 3/9/2013
Need to add the possibility of sub- committees to the Procedures Refer to <u>SG 02</u> for guidance and use those terms	Observation for improvement	New section 13.3 Sub- committees and Working Groups added t the Procedure	Completed 27/9/2013
Need to Reference WTO Standardization Guide ISO/IEC 17007:2009 - Conformity assessment Guidance for drafting normative documents suitable for use for conformity assessment ISO/IEC Guide 59:1994 - Code of good practice for standardization			
Need to add the word SDC in Part 9 – the Board Resolution 9. FRDC BOARD DELEGATION The FRDC board will delegate authority to the SDCs to: 1. act with independence and with authority on the review and		Insert the word SDC Email sent to FRDC Board on 3 August 2013	Completed 359/2013
maintenance of the standard and technical matters relating to the standard; and 2. approve amendments to the relevant standards subject to the			



Audit Observation	Severity (Observation, non-Conformance, etc)	Actions to Remedy	Date completed
committees compliance with FRDC endorsed policies and procedures, the committees' budgets, and FRDC's scope of accreditation as a SDO.			
The FRDC board, being responsible for the accredited SDO, will:			
 set policies to guide the standards development activities of the SDCs and 			
2. ratify the SDC's decisions subject to the committees' compliance with FRDC SDC policies and procedures and the terms of FRDC's accreditation as a SDO.			
Add the additional Fish Names		Create additional SDC specific	Completed
Procedures as an Appendix to the Standards Development Procedures – not a standalone document		procedures as required for each SDC	27/9/2013
 Documents affected are BP 21 Standards development procedure (422,467) Fish Names Standards 		Completed	
Development Procedure(<u>426,142</u>)		Document is now rebadged as Supplementary Procedures – Fish Names	

